



Order Routing and SEC Rule 606 Reporting

Hantz Financial Services, Inc. has prepared this disclosure pursuant to U.S. Securities and Exchange Commission rules requiring all brokerage firms to make available to the public quarterly reports about their order routing practices.

This disclosure provides information on the routing of non-directed client orders — any order that the customer has not specifically instructed to be routed to a particular venue for execution. For these non-directed orders, Hantz Financial Services, Inc. has selected Hilltop Securities Inc. as the execution and clearing firm. For this service, Hantz Financial Services, Inc. receives a transaction fee.

Hantz Financial Services, Inc. does not select the execution venue to which Hilltop Securities routes Rule 606 reportable securities for execution. As a result, Hantz Financial Services, Inc. is adopting by reference Hilltop Securities Inc. Rule 606 reports.

Those referenced Rule 606 reports can be found here: [Hilltop Securities Rule 606 Reports](#)

If you should have any additional questions or concerns, please contact our firm's Compliance Department at (248) 304-2855.